

Request for Taxpayer Identification Number and Certification

Go to www.irs.gov/FormW9 for instructions and the latest information.

**Give form to the
requester. Do not
send to the IRS.**

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See Specific Instructions on page 3.	1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.) The Brock Group, Inc.		
	2 Business name/disregarded entity name, if different from above. Brock Services, LLC (76-0467239)		
	3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor <input checked="" type="checkbox"/> C corporation <input type="checkbox"/> S corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) _____ Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) _____		
	3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions <input type="checkbox"/>		
	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) 5 Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____ <i>(Applies to accounts maintained outside the United States.)</i>		
	5 Address (number, street, and apt. or suite no.). See instructions. 777 N. Eldridge Parkway Suite 900	Requester's name and address (optional)	
	6 City, state, and ZIP code Houston, TX 77079		
7 List account number(s) here (optional)			

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Social security number									
or									
Employer identification number									
3	2	-	0	2	1	7	0	4	3

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person 	Date 03-01-2026
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they



Jan 01, 2026

Dear Valued Customer,

Please be advised that the correct legal entity with which you are contracted to do business is Brock Services, LLC ("BSL"), EIN # 76-0467239.

BSL is a state law legal entity that is disregarded as separate from its parent company for federal income tax purposes only. Per the instructions for Form W-9, the Internal Revenue Service (IRS) requires that the parent of BSL, "The Brock Group, Inc.", appear on line 1 of Form W-9. Furthermore, the IRS requires that only the parent's EIN be listed on the face of the form. The entity with which you have a contract, "Brock Services, LLC," appears on line 2 of Form W-9. The EIN for BSL is listed on page 2 of Form W-9. Thus, Form W-9 provides the necessary information you need for Form 1099 tax reporting purposes.

However, the manner in which Form W-9 is required to be completed by BSL, and the related Form 1099 tax reporting requirements imposed upon you by the U.S. government, have no bearing on contract obligations governed by state law. "Brock Services, LLC" is **not** a DBA for The Brock Group, Inc. It is a separate state law business entity with full state law ability to enter into contracts. To the extent you may require additional independent confirmation of that fact, BSL' status as a state law business entity can be verified via a search of the Secretary of State's database. BSL files sales and payroll tax returns related to all jobs it performs. Although The Brock Group, Inc. is listed on page 1 of Form W-9, all payments should be made to "Brock Services, LLC" for all work performed, as BSL is the contracting entity.

We understand that your company matches its vendor set up to what is listed on line 1 of Form W-9 for Form 1099/TIN matching purposes. However, this practice will often lead to error. Form W-9 cannot be used as a substitute to determine the parties with which you have entered into contracts because federal tax law ignores the existence of certain entities while state law does not. In that regard, the entity listed on line 1 of Form W-9 will often be the *owner* of the business entity with which you have a contract, rather than the contracting entity itself.

Should you have any additional questions please call Brock's legal department at 281-807-8251.

Respectfully,

The Brock Group

Form W-9, Line 2

Supplemental Statement for Disregarded Entity EIN

Brock Services, LLC

EIN: 76-0467239

m Department of the Treasury
Internal Revenue Service
Ogden, UT 84201

In reply refer to: 0441817333
Nov 01, 2021 LTR 147C
76-0467239

BROCK SERVICES LLC
% BROCK SERVICES HOLDINGS SOLE MBR
10343 SAM HOUSTON PARK DR STE 200
HOUSTON TX 77064-4656 500

Taxpayer Identification Number: 76-0467239

Form(s):

Dear Taxpayer:

Thank you for your telephone inquiry of November 1st, 2021.

Your Employer Identification Number (EIN) is 76-0467239. Please keep this letter in your permanent records. Enter your name and your EIN on all business federal tax forms and on related correspondence.

If you have any questions regarding this letter, please call our Customer Service Department at 1-800-829-0115 between the hours of 7:00 AM and 10:00 PM. If you prefer, you may write to us at the address shown at the top of the first page of this letter. When you write, please include a telephone number where you may be reached and the best time to call.

Sincerely,

Mr. Ross
1003941453
Customer Service Representative